STATE OF CONNECTICUT

House of Representatives

General Assembly

File No. 123

February Session, 2022

Substitute House Bill No. 5046

House of Representatives, March 28, 2022

The Committee on Public Health reported through REP. STEINBERG of the 136th Dist., Chairperson of the Committee on the part of the House, that the substitute bill ought to pass.

AN ACT ADOPTING THE INTERSTATE MEDICAL LICENSURE COMPACT AND PSYCHOLOGY INTERJURISDICTIONAL COMPACT.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. (NEW) (Effective October 1, 2022) The Interstate Medical
- 2 Licensure Compact is hereby enacted into law and entered into by the
- 3 state of Connecticut with any and all states legally joining therein in
- 4 accordance with its terms. The compact is substantially as follows:
- 5 "INTERSTATE MEDICAL LICENSURE COMPACT
- 6 SECTION 1. PURPOSE
- 7 In order to strengthen access to health care, and in recognition of the
- 8 advances in the delivery of health care, the member states of the
- 9 Interstate Medical Licensure Compact have allied in common purpose
- 10 to develop a comprehensive process that complements the existing
- 11 licensing and regulatory authority of state medical boards, provides a
- 12 streamlined process that allows physicians to become licensed in

multiple states, thereby enhancing the portability of a medical license

- 14 and ensuring the safety of patients. The compact creates another
- 15 pathway for licensure and does not otherwise change a state's existing
- 16 licensure requirements for physicians. The compact also adopts the
- 17 prevailing standard for licensure and affirms that the practice of
- 18 medicine occurs where the patient is located at the time of the physician-
- 19 patient encounter, and therefore, requires the physician to be under the
- 20 jurisdiction of the state medical board where the patient is located. State
- 21 medical boards that participate in the compact retain the jurisdiction to
- 22 impose an adverse action against a license to practice medicine in such
- state issued to a physician through the procedures in the compact.

SECTION 2. DEFINITIONS

- As used in section 1, this section, and sections 3 to 24, inclusive, of the compact:
- 27 (1) "Bylaws" means those bylaws established by the Interstate 28 Commission pursuant to section 11 of the compact.
- 29 (2) "Commissioner" means the voting representative appointed by 30 each member board pursuant to section 11 of the compact.
- 31 (3) "Compact" means the Interstate Medical Licensure Compact.
- 32 (4) "Conviction" means a finding by a court that an individual is 33 guilty of a criminal offense through adjudication, or entry of a plea of
- 34 guilt or no contest to the charge by the offender. Evidence of an entry of
- 35 a conviction of a criminal offense by the court shall be considered final
- 36 for purposes of disciplinary action by a member board.
- 37 (5) "Expedited license" means a full and unrestricted medical license
- granted by a member state to an eligible physician through the process
- 39 set forth in the compact.
- 40 (6) "Interstate Commission" means the interstate commission created
- 41 pursuant to section 11 of the compact.

42 (7) "License" means authorization by a member state for a physician 43 to engage in the practice of medicine, which would be unlawful without 44 authorization.

- 45 (8) "Medical Practice Act" means laws and regulations governing the 46 practice of allopathic and osteopathic medicine within a member state.
- (9) "Member board" means a state agency in a member state that acts in the sovereign interests of the state by protecting the public through licensure, regulation and education of physicians as directed by the state government.
- 51 (10) "Member state" means a state that has enacted the compact.
- 52 (11) "Practice of medicine" means the clinical prevention, diagnosis 53 or treatment of human disease, injury or condition requiring a physician 54 to obtain and maintain a license in compliance with the Medical Practice 55 Act of a member state.
- 56 (12) "Physician" means any person who:
- (A) Is a graduate of a medical school accredited by the Liaison Committee on Medical Education, the Commission on Osteopathic College Accreditation or a medical school listed in the International Medical Education Directory or its equivalent;
- (B) Passed each component of the United States Medical Licensing
 Examination or the Comprehensive Osteopathic Medical Licensing
 Examination within three attempts, or any of said examination's
 predecessor examinations accepted by a state medical board as an
 equivalent examination for licensure purposes;
- (C) Successfully completed graduate medical education approved by
 the Accreditation Council for Graduate Medical Education or the
 American Osteopathic Association;
- 69 (D) Holds specialty certification or a time-unlimited specialty 70 certificate recognized by the American Board of Medical Specialties or

71 the American Osteopathic Association's Bureau of Osteopathic 72 Specialists;

- 73 (E) Possesses a full and unrestricted license to engage in the practice 74 of medicine issued by a member board;
- 75 (F) Has never been convicted, received adjudication, deferred adjudication, community supervision or deferred disposition for any offense by a court of appropriate jurisdiction;
- (G) Has never held a license authorizing the practice of medicine subjected to discipline by a licensing agency in any state, federal or foreign jurisdiction, excluding any action related to nonpayment of fees related to a license;
- 82 (H) Has never had a controlled substance license or permit 83 suspended or revoked by a state or the United States Drug Enforcement 84 Administration; and
- 85 (I) Is not under active investigation by a licensing agency or law 86 enforcement authority in any state, federal or foreign jurisdiction.
- 87 (13) "Offense" means a felony, gross misdemeanor or crime of moral turpitude.
- 90 (14) "Rule" means a written statement by the Interstate Commission 90 promulgated pursuant to section 12 of the compact that is of general 91 applicability, implements, interprets or prescribes a policy or provision 92 of the compact, or an organizational, procedural or practice requirement 93 of the Interstate Commission, and has the force and effect of statutory 94 law in a member state, and includes the amendment, repeal or 95 suspension of an existing rule.
- 96 (15) "State" means any state, commonwealth, district or territory of 97 the United States.
- 98 (16) "State of principal license" means a member state where a 99 physician holds a license to practice medicine and that has been

designated as such by the physician for purposes of registration and participation in the compact.

102 SECTION 3. ELIGIBILITY

- 103 (a) A physician shall meet the eligibility requirements set forth in 104 subparagraphs (A) to (I), inclusive, of subdivision (12) of section 2 of the 105 compact to receive an expedited license under the terms and provisions 106 of the compact.
- (b) A physician who does not meet the requirements set forth in subparagraphs (A) to (I), inclusive, of subdivision (12) of section 2 of the compact may obtain a license to practice medicine in a member state if the individual complies with all laws and requirements, other than the compact, relating to the issuance of a license to practice medicine in such state.

113 SECTION 4. DESIGNATION OF STATE OF PRINCIPAL LICENSE

- 114 (a) A physician shall designate a member state as the state of principal 115 license for purposes of registration for expedited licensure through the 116 compact if the physician possesses a full and unrestricted license to 117 practice medicine in such state, and the state is:
- 118 (1) The state of principal residence for the physician;
- 119 (2) The state where at least twenty-five per cent of the practice of 120 medicine occurs;
- 121 (3) The location of the physician's employer; or
- 122 (4) If no state qualifies under subdivision (1), (2) or (3) of this 123 subsection, the state designated as state of residence for purpose of 124 federal income tax.
- (b) A physician may redesignate a member state as state of principal
 license at any time, provided the state meets the requirements of
 subsection (a) of this section.

128 (c) The Interstate Commission is authorized to develop rules to 129 facilitate redesignation of another member state as the state of principal 130 license.

- 131 SECTION 5. APPLICATION AND ISSUANCE OF EXPEDITED 132 LICENSURE
- (a) A physician seeking licensure through the compact shall file an
 application for an expedited license with the member board of the state
 selected by the physician as the state of principal license.
 - (b) Upon receipt of an application for an expedited license, the member board within the state selected as the state of principal license shall evaluate whether the physician is eligible for expedited licensure and issue a letter of qualification, verifying or denying the physician's eligibility, to the Interstate Commission.
- (1) Static qualifications, including, but not limited to, verification of medical education, graduate medical education, results of any medical or licensing examination and other qualifications as determined by the Interstate Commission through rule, shall not be subject to additional primary source verification where already primary source verified by the state of principal license.
 - (2) The member board within the state selected as the state of principal license shall, in the course of verifying eligibility, perform a criminal background check of an applicant, including, but not limited to, the use of the results of fingerprint or other biometric data checks compliant with the requirements of the Federal Bureau of Investigation, with the exception of federal employees who have suitability determination in accordance with 5 CFR 731.202.
- 154 (3) Appeal on the determination of eligibility shall be made to the 155 member state where the application was filed and shall be subject to the 156 law of such state.
 - (c) Upon verification in subsection (b) of this section, a physician eligible for an expedited license shall complete the registration process

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established by the Interstate Commission to receive a license in a member state selected pursuant to subsection (a) of this section, including, but not limited to, the payment of any applicable fees.

- (d) After receiving verification of eligibility under subsection (b) of this section and any fees under subsection (c) of this section, a member board shall issue an expedited license to the physician. This license shall authorize the physician to practice medicine in the issuing state consistent with the Medical Practice Act and all applicable laws and regulations of the issuing member board and member state.
- (e) An expedited license shall be valid for a period consistent with the licensure period in the member state and in the same manner as required for other physicians holding a full and unrestricted license in the member state.
- (f) An expedited license obtained through the compact shall be terminated if a physician fails to maintain a license in the state of principal licensure for a nondisciplinary reason, without redesignation of a new state of principal licensure.
 - (g) The Interstate Commission is authorized to develop rules regarding the application process, including, but not limited to, payment of any applicable fees, and the issuance of an expedited license.

179 SECTION 6. FEES FOR EXPEDITED LICENSURE

- 180 (a) A member state issuing an expedited license authorizing the 181 practice of medicine in such state may impose a fee for a license issued 182 or renewed through the compact.
- 183 (b) The Interstate Commission is authorized to develop rules 184 regarding fees for expedited licenses.

185 SECTION 7. RENEWAL AND CONTINUED PARTICIPATION

186 (a) A physician seeking to renew an expedited license granted in a 187 member state shall complete a renewal process with the Interstate

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- 188 Commission if the physician:
- 189 (1) Maintains a full and unrestricted license in a state of principal license;
- 191 (2) Has not been convicted or received adjudication, deferred 192 adjudication, community supervision or deferred disposition for any 193 offense by a court of appropriate jurisdiction;
- 194 (3) Has not had a license authorizing the practice of medicine subject 195 to discipline by a licensing agency in any state, federal or foreign 196 jurisdiction, excluding any action related to nonpayment of fees related 197 to a license; and
- 198 (4) Has not had a controlled substance license or permit suspended 199 or revoked by a state or the United States Drug Enforcement 200 Administration.
- 201 (b) Physicians shall comply with all continuing professional 202 development or continuing medical education requirements for 203 renewal of a license issued by a member state.
- (c) The Interstate Commission shall collect any renewal fees charged for the renewal of a license and distribute the fees to the applicable member board.
- (d) Upon receipt of any renewal fees collected in subsection (c) of thissection, a member board shall renew the physician's license.
- (e) Physician information collected by the Interstate Commission during the renewal process shall be distributed to all member boards.
- 211 (f) The Interstate Commission is authorized to develop rules to 212 address renewal of licenses obtained through the compact.

213 SECTION 8. COORDINATED INFORMATION SYSTEM

214 (a) The Interstate Commission shall establish a database of all 215 physicians licensed, or who have applied for licensure, under section 5

- 216 of the compact.
- (b) Notwithstanding any other provision of law, member boards shall
- 218 report to the Interstate Commission any public action or complaint
- against a licensed physician who has applied or received an expedited
- 220 license through the compact.
- 221 (c) Member boards shall report disciplinary or investigatory
- 222 information determined as necessary and proper by rule of the
- 223 Interstate Commission.
- 224 (d) Member boards may report any nonpublic complaint or any
- 225 disciplinary or investigatory information not required by subsection (c)
- of the compact to the Interstate Commission.
- (e) Member boards shall share complaint or disciplinary information
- about a physician upon request of another member board.
- 229 (f) All information provided to the Interstate Commission or
- 230 distributed by member boards shall be confidential, filed under seal and
- used only for investigatory or disciplinary matters.
- 232 (g) The Interstate Commission is authorized to develop rules for
- 233 mandated or discretionary sharing of information by member boards.
- 234 SECTION 9. JOINT INVESTIGATIONS
- 235 (a) Licensure and disciplinary records of physicians are deemed
- 236 investigative.
- (b) In addition to the authority granted to a member board by its
- respective Medical Practice Act or other applicable state law, a member
- 239 board may participate with other member boards in joint investigations
- of physicians licensed by the member boards.
- (c) A subpoena issued by a member state shall be enforceable in other
- 242 member states.
- 243 (d) Member boards may share any investigative, litigation or

compliance materials in furtherance of any joint or individual investigation initiate under the compact.

(e) Any member state may investigate actual or alleged violations of the statutes authorizing the practice of medicine in any other member state in which a physician holds a license to practice medicine.

SECTION 10. DISCIPLINARY ACTIONS

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- (a) Any disciplinary action taken by any member board against a physician licensed through the compact shall be deemed unprofessional conduct that may be subject to discipline by other member boards, in addition to any violation of the Medical Practice Act or regulations in such state.
- 255 (b) If a license granted to a physician by the member board in the state 256 of principal license is revoked, surrendered or relinquished in lieu of 257 discipline, or suspended, then all licenses issued to the physician by 258 member boards shall automatically be placed, without further action 259 necessary by any member board, on the same status. If the member 260 board in the state of principal license subsequently reinstates the 261 physician's license, a license issued to the physician by any other 262 member board shall remain encumbered until such respective member 263 board takes action to reinstate the license in a manner consistent with 264 the Medical Practice Act of such state.
 - (c) If disciplinary action is taken against a physician by a member board not in the state of principal license, any other member board may deem the action conclusive as to matter of law and fact decided, and perform one of the following actions:
- 269 (1) Impose the same or any lesser sanction against the physician, 270 provided such sanctions are consistent with the Medical Practice Act of 271 such state; or
 - (2) Pursue separate disciplinary action against the physician under its respective Medical Practice Act, regardless of the action taken in other member states.

(d) If a license granted to a physician by a member board is revoked, surrendered or relinquished in lieu of discipline, or suspended, then any license issued to the physician by any other member board shall be suspended, automatically and immediately without further action necessary by the other member board, for ninety days upon entry of the order by the disciplining board, to permit the member board to investigate the basis for the action under the Medical Practice Act of such state. A member board may terminate the automatic suspension of the license it issued prior to the completion of the ninety-day suspension period in a manner consistent with the Medical Practice Act of such state.

286 SECTION 11. INTERSTATE MEDICAL LICENSURE COMPACT 287 COMMISSION

- (a) The member states hereby create the Interstate Medical LicensureCompact Commission.
- (b) The purpose of the Interstate Commission is the administration ofthe compact, which is a discretionary state function.
 - (c) The Interstate Commission shall be a body corporate and joint agency of the member states and shall have all the responsibilities, powers, and duties set forth in the compact, and such additional powers as may be conferred upon it by a subsequent concurrent action of the respective legislatures of the member states in accordance with the terms of the compact.
 - (d) The Interstate Commission shall consist of two voting representatives appointed by each member state who shall serve as commissioners. In states where allopathic and osteopathic physicians are regulated by separate member boards, or if the licensing and disciplinary authority is split between separate member boards, or if the licensing and disciplinary authority is split between multiple member boards within a member state, the member state shall appoint one representative from each member board. A commissioner shall be the following:

307 (1) An allopathic or osteopathic physician appointed to a member 308 board;

- 309 (2) An executive director, executive secretary or similar executive of 310 a member board; or
- 311 (3) A member of the public appointed to a member board.

majority of the member states.

- (e) The Interstate Commission shall meet at least once each calendar year. A portion of such meeting shall be a business meeting to address such matters as may properly come before the commission, including, but not limited to, the election of officers. The chairperson may call additional meetings and shall call for a meeting upon the request of a
- 318 (f) The bylaws may provide for meetings of the Interstate 319 Commission to be conducted by telecommunication or electronic 320 communication.
 - (g) Each commissioner participating at a meeting of the Interstate Commission is entitled to one vote. A majority of commissioners shall constitute a quorum for the transaction of business, unless a larger quorum is required by the bylaws of the Interstate Commission. A commissioner shall not delegate a vote to another commissioner. In the absence of its commissioner, a member state may delegate voting authority for a specified meeting to another person from such state who shall meet the requirements of subsection (d) of this section.
 - (h) The Interstate Commission shall provide public notice of all meetings and all meetings shall be open to the public. The Interstate Commission may close a meeting, in full or in portion, where it determines by a two-thirds vote of the commissioners present that an open meeting would be likely to:
- 334 (1) Relate solely to the internal personnel practice and procedures of 335 the Interstate Commission;
- 336 (2) Include a discussion of matters specifically exempted from

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- 337 disclosure by federal statute;
- 338 (3) Include a discussion of trade secrets or commercial or financial information that is privileged or confidential;
- 340 (4) Involve accusing a person of a crime, or formally censuring a 341 person;
- 342 (5) Include a discussion of information of a personal nature where 343 disclosure would constitute a clearly unwarranted invasion of personal 344 privacy;
- 345 (6) Include a discussion of investigative records compiled for law 346 enforcement purposes; or
- (7) Specifically relate to the participation in a civil action or other legalproceeding.
- (i) The Interstate Commission shall keep minutes of all meetings, which minutes shall fully describe all matters discussed in a meeting and shall provide a full and accurate summary of actions taken, including, but not limited to, a record of any roll call votes.
 - (j) The Interstate Commission shall make its information and official records, to the extent not otherwise designated in the compact or by its rules, available to the public for inspection.
- 356 (k) The Interstate Commission shall establish an executive committee, 357 which shall include officers, members and others as determined by the 358 bylaws. The executive committee shall have the power to act on behalf 359 of the Interstate Commission, with the exception of rulemaking, during 360 periods when the Interstate Commission is not in session. When acting 361 on behalf of the Interstate Commission, the executive committee shall 362 oversee the administration of the compact, including, but not limited to, 363 enforcement and compliance with the provisions of the compact, its 364 bylaws and rules and other such duties as necessary.
 - (l) The Interstate Commission shall establish other committees for

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366 governance and administration of the compact. 367 SECTION 12. POWERS AND DUTIES OF THE INTERSTATE 368 **COMMISSION** 369 The powers and duties of the Interstate Commission are as follows: 370 (1) Oversee and maintain the administration of the compact; 371 (2) Promulgate rules that shall be binding to the extent and in the 372 manner provided for in the compact; 373 (3) Issue, upon the request of a member state or member board, 374 advisory opinions concerning the meaning or interpretation of the 375 compact, its bylaws, rules and actions; 376 (4) Enforce compliance with compact provisions, the rules 377 promulgated by the Interstate Commission and the bylaws, using all 378 necessary and proper means, including, but not limited to, the use of 379 judicial process; 380 (5) Establish and appoint committees, including, but not limited to, 381 an executive committee as required by section 11 of the compact, that 382 shall have the power to act on behalf of the Interstate Commission in 383 carrying out its powers and duties; 384 (6) Pay, or provide for the payment of the expenses related to the 385 establishment, organization and ongoing activities of the Interstate 386 Commission; 387 (7) Establish and maintain one or more offices; 388 (8) Borrow, accept, hire or contract for services of personnel;

(9) Purchase and maintain insurance and bonds;

(10) Employ an executive director who shall have such powers to

employ, select or appoint employees, agents or consultants, and to

determine the qualifications, define the duties and fix the compensation

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- of such employees, agents or consultants;
- 394 (11) Establish personnel policies and programs relating to conflicts of 395 interest, rates of compensation and qualifications of personnel;
- 396 (12) Accept donations and grants of money, equipment, supplies, 397 materials and services, and receive, utilize and dispose of such money, 398 equipment, supplies, material and services in a manner consistent with 399 the conflict of interest policies established by the Interstate Commission;
- 400 (13) Lease, purchase, accept contributions or donations of, or 401 otherwise own, hold, improve or use, any property, real, personal or 402 mixed;
- 403 (14) Sell, convey, mortgage, pledge, lease, exchange, abandon or 404 otherwise dispose of any property, real, personal or mixed;
- 405 (15) Establish a budget and make expenditures;
- 406 (16) Adopt a seal and bylaws governing the management and operation of the Interstate Commission;
- (17) Report annually to the legislatures and governors of the member states concerning the activities of the Interstate Commission during the preceding year. Such report shall also include reports of financial audits and any recommendations that may have been adopted by the Interstate Commission;
- 413 (18) Coordinate education, training and public awareness regarding 414 the compact, its implementation and its operation;
- 415 (19) Maintain records in accordance with the bylaws;
- 416 (20) Seek and obtain trademarks, copyrights and patents; and
- 417 (21) Perform such functions as may be necessary or appropriate to 418 achieve the purpose of the compact.
- 419 SECTION 13. FINANCE POWERS

(a) The Interstate Commission may levy on and collect an annual assessment from each member state to cover the cost of the operations and activities of the Interstate Commission and its staff. The total assessment shall be sufficient to cover the annual budget approved each year for which revenue is not provided by other sources. The aggregate annual assessment amount shall be allocated upon a formula to be determined by the Interstate Commission, which shall promulgate a rule binding upon all member states.

- (b) The Interstate Commission shall not incur obligations of any kind prior to securing the funds adequate to meet the same.
- 430 (c) The Interstate Commission shall not pledge the credit of any of the 431 member states, except by, and with the authority of, the member state.
 - (d) The Interstate Commission shall be subject to a yearly financial audit conducted by a certified or licensed accountant and the report of the audit shall be included in the annual report of the Interstate Commission.
- 436 SECTION 14. ORGANIZATION AND OPERATION OF THE 437 INTERSTATE COMMISSION
 - (a) The Interstate Commission shall, by a majority of commissioners present and voting, adopt bylaws to govern its conduct as may be necessary or appropriate to carry out the purposes of the compact not later than twelve months after the first Interstate Commission meeting.
 - (b) The Interstate Commission shall elect or appoint annually from among its commissioners a chairperson, a vice-chairperson and a treasurer, each of whom shall have such authority and duties as may be specified in the bylaws. The chairperson, or in the chairperson's absence or disability, the vice-chairperson, shall preside at all meetings of the Interstate Commission.
- (c) Officers elected or appointed pursuant to subsection (b) of this 449 section shall serve without remuneration for the Interstate Commission.

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(d) The officers and employees of the Interstate Commission shall be immune from suit and liability, either personally or in their official capacity, for a claim for damage to or loss of property or personal injury or other civil liability caused or arising out of, or relating to, an actual or alleged act, error or omission that occurred, or that such person had a reasonable basis for believing occurred, within the scope of Interstate Commission employment, duties or responsibilities, provided such person shall not be protected from suit or liability for damage, loss, injury, or liability caused by the intentional or wilful and wanton misconduct of such person.

- (e) The liability of the executive director and employees of the Interstate Commission or representatives of the Interstate Commission, acting within the scope of such person's employment or duties for acts, errors or omissions occurring within such person's state, may not exceed the limits of liability set forth under the constitution and laws of such state for state officials, employees and agents. The Interstate Commission is considered to be an instrumentality of the states for the purpose of any such action. Nothing in this subsection shall be construed to protect such person from suit or liability for damage, loss, injury or liability caused by the intentional or wilful and wanton misconduct of such person.
- (f) The Interstate Commission shall defend the executive director, its employees and, subject to the approval of the attorney general or other appropriate legal counsel of the member state represented by an Interstate Commission representative, such Interstate Commission representative in any civil action seeking to impose liability arising out of an actual or alleged act, error or omission that occurred within the scope of Interstate Commission employment, duties or responsibilities, or that the defendant had a reasonable basis for believing occurred within the scope of Interstate Commission employment, duties or responsibilities, provided the actual or alleged act, error or omission did not result from intentional or wilful and wanton misconduct on the part of such person.

(g) To the extent not covered by the state involved, member state or the Interstate Commission, the representatives or employees of the Interstate Commission shall be held harmless in the amount of a settlement or judgment, including, but not limited to, attorney's fees and costs, obtained against such persons arising out of an actual or alleged act, error or omission that occurred within the scope of the Interstate Commission employment, duties or responsibilities, or that such persons had a reasonable basis for believing occurred within the scope of Interstate Commission employment, duties or responsibilities, provided the actual or alleged act, error or omission did not result from intentional or wilful and wanton misconduct on the part of such person.

494 SECTION 15. RULEMAKING FUNCTIONS OF THE INTERSTATE 495 COMMISSION

- (a) The Interstate Commission shall promulgate reasonable rules in order to effectively and efficiently achieve the purpose of the compact. Notwithstanding the foregoing, if the Interstate Commission exercises its rulemaking authority in a manner that is beyond the scope of the purposes of the compact, or the powers granted under the compact, then such an action by the Interstate Commission shall be invalid and have no force or effect.
- (b) Rules deemed appropriate for the operations of the Interstate Commission shall be made pursuant to a rulemaking process that substantially conforms to the "Model State Administrative Procedure Act" of 2010, as amended from time to time.
- (c) Not later than thirty days after a rule is promulgated, any person may file a petition for judicial review of the rule in the United States District Court for the District of Columbia or the federal district where the Interstate Commission has its principal offices, provided the filing of such a petition shall not stay or otherwise prevent the rule from becoming effective unless the court finds that the petitioner has a substantial likelihood of success. The court shall give deference to the actions of the Interstate Commission consistent with applicable law and shall not find the rule to be unlawful if the rule represents a reasonable

516 exercise of the authority granted to the Interstate Commission.

SECTION 16. OVERSIGHT OF INTERSTATE COMPACT

- (a) The executive, legislative and judicial branches of state government in each member state shall enforce the compact and take all actions necessary and appropriate to effectuate the compact's purposes and intent. The provisions of the compact and the rules promulgated under the compact shall have standing as statutory law, but shall not override existing state authority to regulate the practice of medicine.
- (b) All courts shall take judicial notice of the compact and the rules in any judicial or administrative proceeding in a member state pertaining to the subject matter of the compact that may affect the powers, responsibilities or actions of the Interstate Commission.
- (c) The Interstate Commission shall be entitled to receive all services of process in any such proceeding, and shall have standing to intervene in the proceeding for all purposes. Failure to provide service of process to the Interstate Commission shall render a judgment or order void as to the Interstate Commission, the compact or promulgated rules.

533 SECTION 17. ENFORCEMENT OF INTERSTATE COMPACT

- (a) The Interstate Commission, in the reasonable exercise of its discretion, shall enforce the provisions and rules of the compact.
- (b) The Interstate Commission may, by majority vote of the commissioners, initiate legal action in the United States Court for the District of Columbia, or, at the discretion of the Interstate Commission, in the federal district where the Interstate Commission has its principal offices, to enforce compliance with the provisions of the compact, and its promulgated rules and bylaws, against a member state in default. The relief sought may include both injunctive relief and damages. If judicial enforcement is necessary, the prevailing party shall be awarded all costs of such litigation, including, but not limited to, reasonable attorney's fees.

(c) The remedies set forth in the compact shall not be the exclusive remedies of the Interstate Commission. The Interstate Commission may avail itself of any other remedies available under state law or regulation of a profession.

SECTION 18. DEFAULT PROCEDURES

- 551 (a) The grounds for default include, but are not limited to, failure of 552 a member state to perform such obligations or responsibilities imposed 553 upon it by the compact, or the rules and bylaws of the Interstate 554 Commission promulgated under the compact.
 - (b) If the Interstate Commission determines that a member state has defaulted in the performance of its obligations or responsibilities under the compact, or the bylaws or promulgated rules, the Interstate Commission shall take the following actions:
 - (1) Provide written notice to the defaulting state and other member states of the nature of the default, the means of curing the default and any action taken by the Interstate Commission. The Interstate Commission shall specify the conditions by which the defaulting state shall cure its default; and
 - (2) Provide remedial training and specific technical assistance regarding the default.
 - (c) If the defaulting state fails to cure the default, the defaulting state shall be terminated from the compact upon an affirmative vote of a majority of the commissioners and all rights, privileges and benefits conferred by the compact shall terminate on the effective date of termination. A cure of the default shall not relieve the offending state of obligations or liabilities incurred during the period of the default.
 - (d) Termination of membership in the compact shall be imposed only after all other means of securing compliance have been exhausted. Notice of intent to terminate shall be given by the Interstate Commission to the governor, the majority and minority leaders of the defaulting state's legislature and each of the member states.

(e) The Interstate Commission shall establish rules and procedures to address licenses and physicians that are materially impacted by the termination of a member state, or the withdrawal of a member state.

- (f) The member state that has been terminated is responsible for all dues, obligations and liabilities incurred through the effective date of termination, including, but not limited to, obligations the performance of which extends beyond the effective date of termination.
- (g) The Interstate Commission shall not bear any costs relating to any state that has been found to be in default or that has been terminated from the compact, unless otherwise mutually agreed upon in writing between the Interstate Commission and the defaulting state.
- (h) The defaulting state may appeal the action of the Interstate Commission by petitioning the United States District Court for the District of Columbia or the federal district where the Interstate Commission has its principal offices. The prevailing party shall be awarded all costs of such litigation, including, but not limited to, reasonable attorney's fees.

SECTION 19. DISPUTE RESOLUTION

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- (a) The Interstate Commission shall attempt, upon the request of a member state, to resolve disputes that are subject to the compact and may arise among member states or member boards.
- 598 (b) The Interstate Commission shall promulgate rules providing for 599 both mediation and binding dispute resolution as appropriate.
- 600 SECTION 20. MEMBER STATES, EFFECTIVE DATE AND 601 AMENDMENT
- (a) Any state is eligible to become a member of the compact.
- (b) The compact shall become effective and binding upon legislative
 enactment of the compact into law by not less than seven states.
 Thereafter, it shall become effective and binding on a state upon

enactment of the compact into law by such state.

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(c) The governors of nonmember states, or their designees, shall be invited to participate in the activities of the Interstate Commission on a nonvoting basis prior to adoption of the compact by all states.

(d) The Interstate Commission may propose amendments to the compact for enactment by the member states. No amendment shall become effective and binding upon the Interstate Commission and the member states unless and until it is enacted into law by unanimous consent of the member states.

SECTION 21. WITHDRAWAL

- (a) Once effective, the compact shall continue in force and remain binding upon every member state, provided a member state may withdraw from the compact by specifically repealing the statute that enacted the compact into law.
- (b) Withdrawal from the compact shall be done by the enactment of a statute repealing the compact, but shall not take effect until one year after the effective date of such statute and until written notice of the withdrawal has been given by the withdrawing state to the governor of each other member state.
- (c) The withdrawing state shall immediately notify the chairperson of the Interstate Commission in writing upon the introduction of legislation repealing the compact in the withdrawing state.
- (d) The Interstate Commission shall notify the other member states of the withdrawing state's intent to withdraw not later than sixty days after its receipt of notice provided under subsection (c) of this section.
 - (e) The withdrawing state is responsible for all dues, obligations and liabilities incurred through the effective date of withdrawal, including, but not limited to, obligations, the performance of which extend beyond the effective date of withdrawal.

(f) Reinstatement following withdrawal of a member state shall occur
 upon the withdrawing state reenacting the compact or upon such later
 date as determined by the Interstate Commission.

(g) The Interstate Commission is authorized to develop rules to address the impact of the withdrawal of a member state on licenses granted in other member states to physicians who designated the withdrawing member state as the state of principal license.

SECTION 22. DISSOLUTION

- (a) The compact shall dissolve effective upon the date of the withdrawal or default of the member state that reduces the membership of the compact to one member state.
- (b) Upon the dissolution of the compact, the compact becomes null and void and shall be of no further force or effect, and the business and affairs of the Interstate Commission shall be concluded, and surplus funds shall be distributed in accordance with the bylaws.

650 SECTION 23. SEVERABILITY AND CONSTRUCTION

- 651 (a) The provisions of the compact shall be severable, and if any 652 phrase, clause, sentence or provision of the compact is deemed 653 unenforceable, the remaining provisions of the compact shall be 654 enforceable.
- (b) The provisions of the compact shall be liberally construed to effectuate its purposes.
- (c) Nothing in the compact shall be construed to prohibit the applicability of other interstate compacts to which the member states are members.
- SECTION 24. BINDING EFFECT OF COMPACT AND OTHER
- 661 LAWS

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(a) Nothing in the compact prevents the enforcement of any other lawof a member state that is not inconsistent with the compact.

(b) All laws in a member state in conflict with the compact are superseded to the extent of the conflict.

- (c) All lawful actions of the Interstate Commission, including, but not
 limited to, all rules and bylaws promulgated by said commission, are
 binding upon the member states.
- (d) All agreements between the Interstate Commission and the member states are binding in accordance with the terms of such agreements.
- (e) If any provision of the compact exceeds the constitutional limits imposed on the legislature of any member state, such provision shall be ineffective to the extent of the conflict with the constitutional provision in question in such member state."
- Sec. 2. (NEW) (*Effective October 1, 2022*) The Psychology Interjurisdictional Compact is hereby enacted into law and entered into by the state of Connecticut with any and all states legally joining therein in accordance with its terms. The compact is substantially as follows:
- 680 "PSYCHOLOGY INTERJURISDICTIONAL COMPACT
- 681 ARTICLE I
- 682 PURPOSE
- Whereas, states license psychologists in order to protect the public through verification of education, training and experience and ensure accountability for professional practice; and
- Whereas, the compact is intended to regulate the day-to-day practice of telepsychology, including, but not limited to, the provision of psychological services using telecommunication technologies, by psychologists across state boundaries in the performance of their psychological practice as assigned by an appropriate authority; and
- Whereas, the compact is intended to regulate the temporary in-692 person, face-to-face practice of psychology by psychologists across state

693 boundaries for thirty days within a calendar year in the performance of

- 694 their psychological practice as assigned by an appropriate authority;
- 695 and
- Whereas, the compact is intended to authorize state psychology
- 697 regulatory authorities to afford legal recognition, in a manner consistent
- 698 with the terms of the compact, to psychologists licensed in another state;
- 699 and
- Whereas, the compact recognizes that states have a vested interest in
- 701 protecting the public's health and safety through their licensing and
- regulation of psychologists and that such state licensing and regulation
- 703 will best protect public health and safety; and
- Whereas, the compact shall not apply when a psychologist is licensed
- 705 in both the home and receiving states; and
- Whereas, the compact shall not apply to permanent in-person, face-
- 707 to-face practice, it shall allow for authorization of temporary
- 708 psychological practice.
- Consistent with such principles, the compact is designed to achieve
- 710 the following purposes and objectives:
- 711 (1) Increase public access to professional psychological services by
- 712 allowing for telepsychological practice across state lines and temporary
- 713 in-person, face-to-face services in a state which the psychologist is not
- 714 licensed to practice psychology;
- 715 (2) Enhance the states' ability to protect the public's health and safety,
- 716 especially client or patient safety;
- 717 (3) Encourage the cooperation of compact states in the areas of
- 718 psychology licensure and regulation;
- 719 (4) Facilitate the exchange of information between compact states
- 720 regarding licensure, adverse actions and disciplinary history of
- 721 psychologists;

722 (5) Promote compliance with the laws governing psychological 723 practice in each compact state; and

- (6) Invest all compact states with the authority to hold licensed psychologists accountable through the mutual recognition of compact state licenses.
- 727 ARTICLE II

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- 728 DEFINITIONS
- (1) "Adverse action" means any action taken by a state psychology regulatory authority that finds a violation of a statute or regulation that is identified by the state psychology regulatory authority as discipline and is a matter of public record.
- 733 (2) "Association of State and Provincial Psychology Boards" means 734 the recognized membership organization composed of state and 735 provincial psychology regulatory authorities responsible for the 736 licensure and registration of psychologists throughout the United States 737 and Canada.
- 738 (3) "Authority to practice interjurisdictional telepsychology" means a 739 licensed psychologist's authority to practice telepsychology, within the 740 limits authorized under the compact, in another compact state.
- 741 (4) "Bylaws" means the bylaws established by the Psychology 742 Interjurisdictional Compact Commission pursuant to Article X of the 743 compact for the governance of said commission, or for directing and 744 controlling the actions and conduct of said commission.
- 745 (5) "Client or patient" means the recipient of psychological services, 746 whether psychological services are delivered in the context of 747 healthcare, corporate, supervision or consulting services.
- 748 (6) "Commissioner" means the voting representative appointed by 749 each state psychology regulatory authority pursuant to Article X of the 750 compact.

- 751 (7) "Compact" means the Psychology Interjurisdictional Compact.
- 752 (8) "Compact state" means a state, the District of Columbia or United 753 States territory that has enacted the compact and that has not withdrawn 754 pursuant to subsection (c) of Article XIII of the compact, or been 755 terminated pursuant to subsection (b) of Article XII of the compact.
 - (9) "Coordinated licensure information system" or "coordinated database" means an integrated process for collecting, storing and sharing information on psychologists' licensure and enforcement activities related to psychology licensure laws, that is administered by the recognized membership organization composed of state and provincial psychology regulatory authorities.
- 762 (10) "Confidentiality" means the principle that data or information is 763 not made available or disclosed to unauthorized persons or processes.
- 764 (11) "Day" means any part of a day in which psychological work is 765 performed.
- 766 (12) "Distant state" means the compact state where a psychologist is 767 physically present, not through the use of telecommunications 768 technologies, to provide temporary in-person, face-to-face 769 psychological services.
 - (13) "E.Passport" means the Interjurisdictional Practice Certificate issued by the Association of State and Provincial Psychology Boards that promotes the standardization in the criteria of interjurisdictional telepsychology practice and facilitates the process for licensed psychologists to provide telepsychological services across state lines.
 - (14) "Executive board" means a group of directors elected or appointed to act on behalf of, and within the powers granted to them by, the commission.
- 778 (15) "Home state" means a compact state where a psychologist is 779 licensed to practice psychology, provided (A) if the psychologist is 780 licensed in more than one compact state and is practicing under the

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temporary authorization to practice, the home state is the compact state where the psychologist is physically present when delivering telepsychological services, and (B) if the psychologist is licensed in more than one compact state and is practicing under the temporary authorization to practice, the home state is any compact state where the psychologist is licensed.

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- (16) "Identity history summary" means a summary of information retained by the Federal Bureau of Investigation, or said bureau's designee with similar authority, in connection with arrests and, in some instances, federal employment, naturalization, or military service.
- 791 (17) "In-person, face-to-face" (A) means interactions in which the 792 psychologist and the client or patient are in the same physical space, and 793 (B) does not include interactions that may occur through the use of 794 telecommunication technologies.
 - (18) "IPC" means the Interjurisdictional Practice Certificate issued by the Association of State and Provincial Psychology Boards that grants temporary authority to practice based on notification to the state psychology regulatory authority of intention to practice temporarily, and verification of one's qualifications for such practice.
- 800 (19) "License" means authorization by a state psychology regulatory authority to engage in the independent practice of psychology, which 802 practice would be unlawful without the authorization.
 - (20) "Noncompact state" means any state that is not a compact state.
- 804 (21) "Psychologist" means an individual licensed for the independent 805 practice of psychology.
- 806 "Psychology Interjurisdictional Compact Commission" 807 "commission" means the national administration of which all compact 808 states are members.
- 809 (23) "Receiving state" means a compact state where the client or 810 patient is physically located when the telepsychological services are

- 811 delivered.
- 812 (24) "Rule" means a written statement by the Psychology
- 813 Interjurisdictional Compact Commission promulgated pursuant to
- 814 Article XI of the compact that is of general applicability, implements,
- 815 interprets or prescribes a policy or provision of the compact, or an
- 816 organizational, procedural or practice requirement of the commission,
- 817 and has the force and effect of statutory law in a compact state,
- 818 including, but not limited to, the amendment, repeal or suspension of
- an existing rule.
- 820 (25) "Significant investigatory information" means:
- 821 (A) Investigative information that a state psychology regulatory
- 822 authority, after a preliminary inquiry that includes notification and an
- opportunity to respond if required by state law, has reason to believe, if
- 824 proven true, would indicate more than a violation of state statute or
- 825 ethics code that would be considered more substantial than a minor
- 826 infraction; or
- 827 (B) Investigative information that indicates that the psychologist
- 828 represents an immediate threat to public health and safety regardless of
- whether the psychologist has been notified or had an opportunity to
- 830 respond.
- 831 (26) "State" means a state, commonwealth, territory or possession of
- the United States, or the District of Columbia.
- 833 (27) "State psychology regulatory authority" means the board, office
- or other agency with the legislative mandate to license and regulate the
- 835 practice of psychology.
- 836 (28) "Telepsychology" means the provision of psychological services
- 837 using telecommunication technologies.
- 838 (29) "Temporary authorization to practice" means a licensed
- 839 psychologist's authority to conduct temporary in-person, face-to-face
- practice, within the limits authorized under the compact, in another

- 841 compact state.
- (30) "Temporary in-person, face-to-face practice" means the practice
- of psychology by a psychologist who is physically present, not through
- the use of telecommunications technologies, in the distant state for not
- more than thirty days in a calendar year and based on notification to the
- 846 distant state.

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ARTICLE III HOME STATE LICENSURE

- (a) The home state shall be a compact state where a psychologist is licensed to practice psychology.
- (b) A psychologist may hold one or more compact state licenses at a time. If the psychologist is licensed in more than one compact state, the home state is the compact state where the psychologist is physically present when the services are delivered as authorized by the authority to practice interjurisdictional telepsychology under the terms of the
- (c) Any compact state may require a psychologist not previously licensed in a compact state to obtain and retain a license to be authorized to practice in the compact state under circumstances not authorized by the authority to practice interjurisdictional telepsychology under the terms of the compact.
 - (d) Any compact state may require a psychologist to obtain and retain a license to be authorized to practice in a compact state under circumstances not authorized by a temporary authorization to practice under the terms of the compact.
 - (e) A home state's license authorizes a psychologist to practice in a receiving state under the authority to practice interjurisdictional telepsychology only if the compact state:
- 868 (1) Currently requires the psychologist to hold an active E.Passport;
- 869 (2) Has a mechanism in place for receiving and investigating

- 870 complaints about licensed individuals;
- 871 (3) Notifies the commission, in compliance with the terms of the 872 compact, of any adverse action or significant investigatory information 873 regarding a licensed individual;
- (4) Requires an identity history summary of all applicants at initial licensure, including, but not limited to, the use of the results of fingerprints or other biometric data checks compliant with the requirements of the Federal Bureau of Investigation, or said bureau's designee with similar authority, not later than ten years after activation of the compact; and
- (5) Complies with the bylaws and rules of the commission.
- (f) A home state's license grants a temporary authorization to practice to a psychologist in a distant state only if the compact state:
- 883 (1) Currently requires the psychologist to hold an active IPC;
- 884 (2) Has a mechanism in place for receiving and investigating complaints about licensed individuals;
- 886 (3) Notifies the commission, in compliance with the terms of the 887 compact, of any adverse action or significant investigatory information 888 regarding a licensed individual;
- (4) Requires an identity history summary of all applicants at initial licensure, including, but not limited to, the use of the results of fingerprints or other biometric data checks compliant with the requirements of the Federal Bureau of Investigation, or said bureau's designee with similar authority, not later than ten years after activation of the compact; and
- (5) Complies with the bylaws and rules of the commission.
- 896 ARTICLE IV
- 897 COMPACT PRIVILEGE TO PRACTICE TELEPSYCHOLOGY

(a) Compact states shall recognize the right of a psychologist, licensed in a compact state in conformance with Article III of the compact, to practice telepsychology in receiving states in which the psychologist is not licensed, under the authority to practice interjurisdictional telepsychology as provided in the compact.

- (b) To exercise the authority to practice interjurisdictional telepsychology under the terms and provisions of the compact, a psychologist licensed to practice in a compact state shall:
- 906 (1) Hold a graduate degree in psychology from an institution of 907 higher education that was, at the time the degree was awarded:
- (A) Regionally accredited by an accrediting body recognized by the United States Department of Education to grant graduate degrees, or authorized by provincial statute or royal charter to grant doctoral degrees; or
 - (B) A foreign college or university deemed to be equivalent to an institution of higher education described in subparagraph (A) of this subdivision by a foreign credential evaluation service that is a member of the National Association of Credential Evaluation Services or by a recognized foreign credential evaluation service; and
- 917 (2) Hold a graduate degree in psychology from a psychology 918 program that meets the following criteria:
- 919 (A) The program, wherever it may be administratively housed, shall 920 be clearly identified and labeled as a psychology program. Such 921 program shall specify in pertinent institutional catalogues and 922 brochures its intent to educate and train professional psychologists;
- 923 (B) The psychology program shall stand as a recognizable, coherent, 924 organizational entity within the institution;
- 925 (C) There shall be a clear authority and primary responsibility for the 926 core and specialty areas whether or not the program cuts across 927 administrative lines;

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928 (D) The program shall consist of an integrated, organized sequence 929 of study;

- 930 (E) There shall be an identifiable psychology faculty sufficient in size 931 and breadth to carry out its responsibilities;
- 932 (F) The designated director of the program shall be a psychologist 933 and a member of the core faculty;
- 934 (G) The program shall have an identifiable body of students who are 935 matriculated in such program for a degree;
- 936 (H) The program shall include supervised practicum, internship or 937 field training appropriate to the practice of psychology;
- 938 (I) The curriculum shall encompass a minimum of three academic 939 years of full-time graduate study for a doctoral degree and a minimum 940 of one academic year of full-time graduate study for a master's degree; 941 and
- 942 (J) The program shall include an acceptable residency, as defined by 943 the rules of the commission;
- 944 (3) Possess a current, full and unrestricted license to practice 945 psychology in a home state that is a compact state;
- 946 (4) Have no history of adverse action that violates the rules of the commission;
- 948 (5) Have no criminal record history reported on an identity history 949 summary that violates the rules of the commission;
- 950 (6) Possess a current, active E.Passport;
- (7) Provide (A) attestations regarding areas of intended practice, conformity with standards of practice, competence in telepsychology technology, criminal background and knowledge and adherence to legal requirements in the home and receiving states, and (B) a release of information to allow for primary source verification in a manner

- 956 specified by the commission; and
- 957 (8) Meet other criteria as defined by the rules of the commission.
- (c) The home state maintains authority over the license of any psychologist practicing in a receiving state under the authority to practice interjurisdictional telepsychology.
 - (d) A psychologist practicing in a receiving state under the authority to practice interjurisdictional telepsychology shall be subject to the receiving state's scope of practice. A receiving state may, in accordance with such state's due process law, limit or revoke a psychologist's authority to practice interjurisdictional telepsychology in the receiving state and may take any other necessary actions under the receiving state's applicable law to protect the health and safety of the receiving state's citizens. If a receiving state takes action, the state shall promptly notify the home state and the commission.
 - (e) If a psychologist's license in any home state, another compact state or any authority to practice interjurisdictional telepsychology in any receiving state, is restricted, suspended or otherwise limited, the E.Passport shall be revoked and the psychologist shall not be eligible to practice telepsychology in a compact state under the authority to practice interjurisdictional telepsychology.
- 976 ARTICLE V

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- 977 COMPACT TEMPORARY AUTHORIZATION TO PRACTICE
- (a) Compact states shall recognize the right of a psychologist, licensed in a compact state in conformance with Article III of the compact, to practice temporarily in other compact states in which the psychologist is not licensed, as provided in the compact.
 - (b) To exercise the temporary authorization to practice under the terms and provisions of the compact, a psychologist licensed to practice in a compact state shall:

985 (1) Hold a graduate degree in psychology from an institution of 986 higher education that was, at the time the degree was awarded:

- (A) Regionally accredited by an accrediting body recognized by the United States Department of Education to grant graduate degrees, or authorized by provincial statute or royal charter to grant doctoral degrees; or
- (B) A foreign college or university deemed to be equivalent to an institution of higher education described in subparagraph (A) of this subdivision by a foreign credential evaluation service that is a member of the National Association of Credential Evaluation Services or by a recognized foreign credential evaluation service; and
- 996 (2) Hold a graduate degree in psychology that meets the following 997 criteria:
- 998 (A) The program, wherever it may be administratively housed, shall 999 be clearly identified and labeled as a psychology program. Such 1000 program shall specify in pertinent institutional catalogues and 1001 brochures its intent to educate and train professional psychologists;
- 1002 (B) The psychology program shall stand as a recognizable, coherent, 1003 organizational entity within the institution;
- 1004 (C) There shall be a clear authority and primary responsibility for the 1005 core and specialty areas whether or not the program cuts across 1006 administrative lines;
- 1007 (D) The program shall consist of an integrated, organized sequence 1008 of study;
- 1009 (E) There shall be an identifiable psychology faculty sufficient in size 1010 and breadth to carry out its responsibilities;
- 1011 (F) The designated director of the program shall be a psychologist 1012 and a member of the core faculty;
- 1013 (G) The program shall have an identifiable body of students who are

- 1014 matriculated in such program for a degree;
- 1015 (H) The program shall include supervised practicum, internship or 1016 field training appropriate to the practice of psychology;
- (I) The curriculum shall encompass a minimum of three academic years of full-time graduate study for a doctoral degree and a minimum of one academic year of full-time graduate study for a master's degree;
- 1020 and
- 1021 (J) The program includes an acceptable residency, as defined by the rules of the commission;
- 1023 (3) Possess a current, full and unrestricted license to practice psychology in a home state that is a compact state;
- 1025 (4) No history of adverse action that violates the rules of the 1026 commission;
- 1027 (5) No criminal record history that violates the rules of the 1028 commission;
- 1029 (6) Possess a current, active IPC;
- 1030 (7) Provide attestations regarding areas of intended practice and work experience and provide a release of information to allow for primary source verification in a manner specified by the commission; and
- 1034 (8) Meet other criteria, as defined by the rules of the commission.
- 1035 (c) A psychologist practicing in a distant state under the temporary 1036 authorization to practice shall practice within the scope of practice 1037 authorized by the distant state.
- (d) A psychologist practicing in a distant state under the temporary
 authorization to practice shall be subject to the distant state's authority
 and law. A distant state may, in accordance with such state's due process
 law, limit or revoke a psychologist's temporary authorization to practice

in the distant state and may take any other necessary actions under the distant state's applicable law to protect the health and safety of the distant state's citizens. If a distant state takes action, the state shall promptly notify the home state and the commission.

- (e) If a psychologist's license in any home state or another compact state, or any temporary authorization to practice in any distant state, is restricted, suspended or otherwise limited, the IPC shall be revoked and the psychologist shall not be eligible to practice in a compact state under the temporary authorization to practice.
- 1051 ARTICLE VI

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- 1052 CONDITIONS OF TELEPSYCHOLOGY PRACTICE IN A
- 1053 RECEIVING STATE
- A psychologist may practice in a receiving state under the authority to practice interjurisdictional telepsychology only in the performance of the scope of practice for psychology as assigned by an appropriate state psychology regulatory authority, as defined in the rules of the commission, and under the following circumstances:
- 1059 (1) The psychologist initiates a client or patient contact in a home state 1060 via telecommunications technologies with a client or patient in a 1061 receiving state; and
- 1062 (2) The psychologist complies with any other conditions regarding 1063 telepsychology that are set forth in the rules promulgated by the 1064 commission.
- 1065 ARTICLE VII
- 1066 ADVERSE ACTIONS
- 1067 (a) A home state shall have the power to impose adverse action 1068 against a psychologist's license issued by the home state. A distant state 1069 shall have the power to take adverse action on a psychologist's 1070 temporary authorization to practice in such distant state.

(b) A receiving state may take adverse action on a psychologist's authority to practice interjurisdictional telepsychology in such receiving state. A home state may take adverse action against a psychologist based on an adverse action taken by a distant state regarding temporary inperson, face-to-face practice.

- (c) If a home state takes adverse action against a psychologist's license, the psychologist's (1) authority to practice interjurisdictional telepsychology is terminated, (2) E.Passport is revoked, (3) temporary authorization to practice is terminated, and (4) IPC is revoked. All home state disciplinary orders that impose adverse action shall be reported to the commission in accordance with the rules promulgated by the commission. A compact state shall report adverse actions in accordance with the rules of the commission. If discipline is reported on a psychologist, the psychologist shall not be eligible for telepsychology or temporary in-person, face-to-face practice in accordance with the rules of the commission. Other actions may be imposed as determined by the rules promulgated by the commission.
- (d) A home state's psychology regulatory authority shall investigate and take appropriate action with respect to reported inappropriate conduct engaged in by a licensee that occurred in a receiving state as it would if such conduct had occurred by a licensee in the home state. In such cases, the home state's law shall control in determining any adverse action against a psychologist's license.
- (e) A distant state's psychology regulatory authority shall investigate and take appropriate action with respect to reported inappropriate conduct engaged in by a psychologist practicing under temporary authorization to practice that occurred in that distant state as it would if such conduct had occurred by a licensee within the home state. In such cases, the distant state's law shall control in determining any adverse action against a psychologist's temporary authorization to practice.
- (f) Nothing in the compact shall override a compact state's decision that a psychologist's participation in an alternative program may be used in lieu of adverse action and that such participation shall remain

1104 nonpublic if required by the compact state's law. Compact states shall 1105 require psychologists who enter any alternative program to not provide

- 1106 telepsychology services under the authority to practice
- interjurisdictional telepsychology or provide temporary psychological
- services under the temporary authorization to practice in any other
- 1109 compact state during the term of the alternative program.
- 1110 (g) No other judicial or administrative remedies shall be available to
- a psychologist if the compact state imposes an adverse action pursuant
- 1112 to subsection (c) of this article.
- 1113 ARTICLE VIII
- 1114 ADDITIONAL AUTHORITIES INVESTED IN A COMPACT
- 1115 STATE'S PSYCHOLOGY REGULATORY AUTHORITY
- 1116 (a) In addition to any other powers granted under state law, a
- 1117 compact state's psychology regulatory authority shall have the
- authority under the compact to do the following:
- (1) Issue subpoenas, for both hearings and investigations, that require
- the attendance and testimony of witnesses and the production of
- evidence. Subpoenas issued by a compact state's psychology regulatory
- 1122 authority for the attendance and testimony of witnesses or the
- production of evidence from another compact state shall be enforced in
- the latter compact state by any court of competent jurisdiction,
- 1125 according to such court's practice and procedure in considering
- subpoenas issued in its own proceedings. The issuing state psychology
- regulatory authority shall pay any witness fees, travel expenses, mileage
- and other fees required by the service statutes of the state where the
- 1129 witnesses are or evidence is located; and
- 1130 (2) Issue cease and desist or injunctive relief orders to revoke a
- psychologist's authority to practice interjurisdictional telepsychology or
- temporary authorization to practice.
- (b) During the course of any investigation, a psychologist may not
- 1134 change the psychologist's home state licensure. A home state

1135 psychology regulatory authority is authorized to complete any pending 1136 investigations of a psychologist and to take any actions appropriate 1137 under its law. The home state psychology regulatory authority shall promptly report the conclusions of such investigations to the 1138 1139 commission. Once an investigation has been completed, and pending 1140 the outcome of such investigation, the psychologist may change his or 1141 her home state licensure. The commission shall promptly notify the new 1142 home state of any such decisions as provided in the rules of the 1143 commission. All information provided to the commission or distributed 1144 by compact states pursuant to the psychologist shall be confidential, 1145 filed under seal and used for investigatory or disciplinary matters. The 1146 commission may create additional rules for mandated or discretionary 1147 sharing of information by compact states.

1148 ARTICLE IX

1149 COORDINATED LICENSURE INFORMATION SYSTEM

- (a) The commission shall provide for the development and maintenance of a coordinated licensure information system and reporting system containing licensure and disciplinary action information on all psychologists to whom the compact is applicable in all compact states as defined by the rules of the commission.
- (b) Notwithstanding any other provision of the general statutes, a compact state shall submit a uniform data set to the coordinated database on all licensees as required by the rules of the commission, including, but not limited to, the following:
- 1159 (1) Identifying information;
- 1160 (2) Licensure data;
- 1161 (3) Significant investigatory information;
- 1162 (4) Adverse actions against a psychologist's license;
- 1163 (5) An indicator that a psychologist's authority to practice

1164 interjurisdictional telepsychology or temporary authorization to 1165 practice is revoked;

- 1166 (6) Nonconfidential information related to alternative program 1167 participation information;
- 1168 (7) Any denial of application for licensure, and the reasons for such denial; and
- 1170 (8) Other information that may facilitate the administration of the compact, as determined by the rules of the commission.
- 1172 (c) The coordinated database administrator shall promptly notify all 1173 compact states of any adverse action taken against, or significant 1174 investigative information on, any licensee in a compact state.
- 1175 (d) Compact states reporting information to the coordinated database 1176 may designate information that may not be shared with the public 1177 without the express permission of the compact state reporting the 1178 information.
- (e) Any information submitted to the coordinated database that is subsequently required to be expunged by the law of the compact state reporting the information shall be removed from the coordinated database.
- 1183 ARTICLE X
- 1184 ESTABLISHMENT OF THE PSYCHOLOGY INTER-1185 JURISDICTIONAL COMPACT COMMISSION
- 1186 (a) The compact states hereby create and establish a joint public 1187 agency known as the Psychology Interjurisdictional Compact 1188 Commission.
- 1189 (1) The commission is a body politic and an instrumentality of the compact states.
- 1191 (2) Venue is proper and judicial proceedings by or against the

commission shall be brought solely and exclusively in a court of competent jurisdiction where the principal office of the commission is located. The commission may waive venue and jurisdictional defenses to the extent it adopts or consents to participate in alternative dispute resolution proceedings.

- 1197 (3) Nothing in the compact shall be construed to be a waiver of sovereign immunity.
 - (b) (1) The commission shall consist of one voting representative appointed by each compact state who shall serve as such state's commissioner. The state psychology regulatory authority shall appoint its delegate. The delegate shall be empowered to act on behalf of the compact state. The delegate shall be limited to the following:
- 1204 (A) An executive director, executive secretary or similar executive;
- 1205 (B) A current member of the state psychology regulatory authority of 1206 a compact state; or
- 1207 (C) A designee empowered with the appropriate delegate authority 1208 to act on behalf of the compact state.
 - (2) Any commissioner may be removed or suspended from office as provided by the law of the state from which the commissioner is appointed. Any vacancy occurring in the commission shall be filled in accordance with the laws of the compact state in which the vacancy exists.
 - (3) Each commissioner shall be entitled to one vote with regard to the promulgation of rules and creation of bylaws and shall otherwise have an opportunity to participate in the business and affairs of the commission. A commissioner shall vote in person or by such other means as provided in the bylaws. The bylaws may provide for commissioners' participation in meetings by telephone or other means of communication.
- 1221 (4) The commission shall meet at least once during each calendar

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1222 year. Additional meetings shall be held as set forth in the bylaws.

- 1223 (5) All meetings shall be open to the public, and public notice of 1224 meetings shall be given in the same manner as required under the
- rulemaking provisions in Article XI of the compact.
- 1226 (6) The commission may convene in a closed, nonpublic meeting if 1227 the commission has to discuss the following:
- 1228 (A) Noncompliance of a compact state with its obligations under the 1229 compact;
- 1230 (B) The employment, compensation, discipline or other personnel 1231 matters, practices or procedures related to specific employees or other 1232 matters related to the commission's internal personnel practices and 1233 procedures;
- 1234 (C) Current, threatened or reasonably anticipated litigation against the commission;
- 1236 (D) Negotiation of contracts for the purchase or sale of goods, services 1237 or real estate;
- 1238 (E) Accusation against any person of a crime or formally censuring 1239 any person;
- 1240 (F) Disclosure of trade secrets or commercial or financial information 1241 which is privileged or confidential;
- 1242 (G) Disclosure of information of a personal nature where disclosure 1243 would constitute a clearly unwarranted invasion of personal privacy;
- 1244 (H) Disclosure of investigatory records compiled for law enforcement 1245 purposes;
- (I) Disclosure of information related to any investigatory reports prepared by or on behalf of or for use of the commission or other committee charged with responsibility for investigation or determination of compliance issues pursuant to the compact; or

1250 (J) Matters specifically exempted from disclosure by federal and state 1251 statute.

- (7) If a meeting, or portion of a meeting, is closed pursuant to the provisions of subdivision (6) of this subsection, the commission's legal counsel or designee shall certify that the meeting may be closed and shall reference each relevant exempting provision. The commission shall keep minutes that fully and clearly describe all matters discussed in a meeting and shall provide a full and accurate summary of actions taken, of any person participating in the meeting, and the reasons therefore, including, but not limited to, a description of the views expressed. All documents considered in connection with an action shall be identified in such minutes. All minutes and documents of a closed meeting shall remain under seal, subject to release only by a majority vote of the commission or order of a court of competent jurisdiction.
- (c) The commission shall, by a majority vote of the commissioners, prescribe bylaws or rules to govern its conduct as may be necessary or appropriate to carry out the purposes and exercise the powers of the compact, including, but not limited to:
- (1) Establishing the fiscal year of the commission;
- 1269 (2) Providing reasonable standards and procedures for the following:
- 1270 (A) The establishment and meetings of other committees; and
- 1271 (B) Governing any general or specific delegation of any authority or 1272 function of the commission;
 - (3) Providing reasonable procedures for calling and conducting meetings of the commission, ensuring reasonable advance notice of all meetings and providing an opportunity for attendance of such meetings by interested parties, with enumerated exceptions designed to protect the public's interest, the privacy of individuals at such meetings and proprietary information, including, but not limited to, trade secrets. The commission may meet in closed session only after a majority of the commissioners vote to close a meeting to the public in whole or in part.

1281 As soon as practicable, the commission shall make public a copy of the

- vote to close the meeting revealing the vote of each commissioner with
- 1283 no proxy votes allowed;
- 1284 (4) Establishing the titles, duties and authority and reasonable 1285 procedures for the election of the officers of the commission;
- (5) Providing reasonable standards and procedures for the establishment of the personnel policies and programs of the commission. Notwithstanding any civil service law or other similar law of any compact state, the bylaws shall exclusively govern the personnel policies and programs of the commission;
- 1291 (6) Promulgating a code of ethics to address permissible and prohibited activities of commission members and employees;
- (7) Providing a mechanism for concluding the operations of the commission and the equitable disposition of any surplus funds that may exist after the termination of the compact after the payment or reserving of all of its debts and obligations;
- 1297 (8) The commission shall publish its bylaws in a convenient form and 1298 file a copy thereof and a copy of any amendment thereto, with the 1299 appropriate agency or officer in each of the compact states;
- 1300 (9) The commission shall maintain its financial records in accordance 1301 with the bylaws; and
- 1302 (10) The commission shall meet and take such actions as are consistent with the provisions of the compact and the bylaws.
- 1304 (d) The commission may:
- 1305 (1) Promulgate uniform rules to facilitate and coordinate 1306 implementation and administration of the compact, which rules shall 1307 have the force and effect of law and shall be binding in all compact 1308 states;
- 1309 (2) Bring and prosecute legal proceedings or actions in the name of

1310 the commission, provided the standing of any state psychology

- 1311 regulatory authority or other regulatory body responsible for
- psychology licensure to sue or be sued under applicable law shall not
- 1313 be affected;
- 1314 (3) Purchase and maintain insurance and bonds;
- 1315 (4) Borrow, accept or contract for services of personnel, including, but 1316 not limited to, employees of a compact state;
- 1317 (5) Hire employees, elect or appoint officers, fix compensation, define
- duties, grant such individuals appropriate authority to carry out the
- purposes of the compact and to establish the commission's personnel
- policies and programs relating to conflicts of interest, qualifications of
- personnel and other related personnel matters;
- 1322 (6) Accept any appropriate donations and grants of money,
- 1323 equipment, supplies, materials and services and to receive, utilize and
- dispose of the same; provided the commission shall strive at all times to
- avoid any appearance of impropriety or conflict of interest;
- 1326 (7) Lease, purchase, accept appropriate gifts or donations of, or
- otherwise own, hold, improve or use, any property, real, personal or
- 1328 mixed, provided the commission shall strive at all times to avoid any
- 1329 appearance of impropriety;
- 1330 (8) Sell, convey, mortgage, pledge, lease, exchange, abandon or
- otherwise dispose of any property real, personal or mixed;
- 1332 (9) Establish a budget and make expenditures;
- 1333 (10) Borrow money;
- 1334 (11) Appoint committees, including, but not limited to, advisory
- 1335 committees comprised of members, state regulators, state legislators or
- their representatives and consumer representatives, and such other
- interested persons as may be designated in the compact and the bylaws;
- 1338 (12) Provide and receive information from, and to cooperate with,

1339	law enforcement agencies;
1340	(13) Adopt and use an official seal; and
1341 1342 1343 1344	(14) Perform such other functions as may be necessary or appropriate to achieve the purposes of the compact consistent with the state regulation of psychology licensure, temporary in-person, face-to-face practice and telepsychology practice.
1345 1346 1347 1348	(e) (1) The elected officers shall serve as the executive board, which shall have the power to act on behalf of the commission according to the terms of the compact. The executive board shall be comprised of the following six members:
1349 1350	(A) Five voting members who are elected from the membership of the commission by the commission; and
1351 1352 1353	(B) One ex-officio, nonvoting member from the recognized membership organization composed of state and provincial psychology regulatory authorities.
1354 1355 1356	(2) The ex-officio member shall have served as staff or member on a state psychology regulatory authority and shall be selected by its respective organization.
1357 1358	(3) The commission may remove any member of the executive board as provided in the bylaws.
1359	(4) The executive board shall meet at least annually.
1360 1361	(5) The executive board shall have the following duties and responsibilities:
1362 1363 1364	(A) Recommend to the entire commission changes to the rules or bylaws, changes to the compact legislation, fees paid by compact states, including, but not limited to, annual dues, and any other applicable fees;
1365 1366	(B) Ensure compact administration services are appropriately provided, contractually or otherwise;

- 1367 (C) Prepare and recommend the budget;
- 1368 (D) Maintain financial records on behalf of the commission;
- 1369 (E) Monitor compact compliance of member states and provide compliance reports to the commission;
- 1371 (F) Establish additional committees as necessary; and
- 1372 (G) Other duties as provided in rules or bylaws.
- 1373 (f) The commission:

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- 1374 (1) Shall pay, or provide for the payment of the reasonable expenses 1375 of its establishment, organization and ongoing activities.
- 1376 (2) May accept any and all appropriate revenue sources, donations 1377 and grants of money, equipment, supplies, materials and services.
 - (3) May levy on and collect an annual assessment from each compact state or impose fees on other parties to cover the cost of the operations and activities of the commission and its staff. Such assessment and fees shall be in a total amount sufficient to cover the commission's annual budget as approved each year for which revenue is not provided by other sources. The aggregate annual assessment amount shall be allocated based upon a formula to be determined by the commission. The commission shall promulgate a rule under this subdivision that is binding upon all compact states.
 - (4) Shall not incur obligations of any kind prior to securing the funds adequate to meet such obligations, or pledge the credit of any of the compact states, except by and with the authority of the compact state.
 - (5) Shall keep accurate accounts of all receipts and disbursements. The receipts and disbursements of the commission shall be subject to the audit and accounting procedures established under its bylaws. All receipts and disbursements of funds handled by the commission shall be audited yearly by a certified or licensed public accountant and the report of the audit shall be included in and become part of the annual

1396 report of the commission.

(g) (1) The members, officers, executive director, employees and representatives of the commission shall be immune from suit and liability, either personally or in their official capacity, for any claim for damage to or loss of property or personal injury or other civil liability caused by or arising out of any actual or alleged act, error or omission that occurred, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of commission employment, duties or responsibilities, provided nothing in this subdivision shall be construed to protect any such person from suit or liability for any damage, loss, injury or liability caused by the intentional or wilful or wanton misconduct of such person.

- (2) The commission shall defend any member, officer, executive director, employee or representative of the commission in any civil action seeking to impose liability arising out of any actual or alleged act, error or omission that occurred within the scope of commission employment, duties or responsibilities, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of commission employment, duties or responsibilities, provided (A) nothing in this subdivision shall be construed to prohibit such person from retaining his or her own counsel, and (B) the actual or alleged act, error or omission did not result from such person's intentional or wilful or wanton misconduct.
- (3) The commission shall indemnify and hold harmless any member, officer, executive director, employee or representative of the commission for the amount of any settlement or judgment obtained against such person arising out of any actual or alleged act, error or omission that occurred within the scope of commission employment, duties or responsibilities, or that such person had a reasonable basis for believing occurred within the scope of commission employment, duties or responsibilities, provided the actual or alleged act, error or omission did not result from the intentional or wilful or wanton misconduct of such person.

1429	ARTICLE XI
1430	RULEMAKING
1431	(a) The commission shall exercise its rulemaking powers pursuant to
1432	the criteria set forth in this Article and the rules adopted thereunder.
1433	Rules and amendments shall become binding as of the date specified in
1434	each rule or amendment.
1435	(b) If a majority of the legislatures of the compact states rejects a rule,
1436	by enactment of a statute or resolution in the same manner used to adopt
1437	the compact, then such rule shall have no further force and effect in any
1438	compact state.
1439	(c) Rules, or amendments to the rules, shall be adopted at a regular
1440	or special meeting of the commission.
1441	(d) Prior to promulgation and adoption of a final rule or rules by the
1442	commission, and at least sixty days prior to the scheduled date of the
1443	meeting at which the rule will be considered and voted upon, the
1444	commission shall file a notice of proposed rulemaking as follows:
1445	(1) On the Internet web site of the commission; and
1446	(2) On the Internet web site of each compact state's psychology
1447	regulatory authority or the publication in which each state would
1448	otherwise publish proposed rules.
1449	(e) The notice of proposed rulemaking shall include the following:
1450	(1) The proposed time, date and location of the meeting in which the
1451	rule will be considered and voted upon;
1452	(2) The text of the proposed rule or amendment and the reason for
1453	the proposed rule;
1454	(3) A request for comments on the proposed rule from any interested
1455	person; and

1456 (4) The manner in which interested persons may submit to the 1457 commission (A) notice of their intention to attend the public hearing, 1458 and (B) written comments.

- (f) Prior to adoption of a proposed rule, the commission shall allow persons to submit written data, facts, opinions and arguments, which shall be made available to the public.
- 1462 (g) The commission shall grant an opportunity for a public hearing 1463 before it adopts a rule or amendment if a hearing is requested by the 1464 following:
- 1465 (1) At least twenty-five persons who submit written comments 1466 independently of each other;
- 1467 (2) A governmental subdivision or agency; or
- 1468 (3) A duly appointed person in an association that has at least twenty-1469 five members.
- (h) If a hearing is held on the proposed rule or amendment, the commission shall publish the location, time and date of the scheduled public hearing.
 - (1) All persons wishing to be heard at the hearing shall notify the executive director of the commission or other designated member in writing of their desire to appear and testify at the hearing not less than five business days prior to the scheduled date of the hearing.
- 1477 (2) Hearings shall be conducted in a manner providing each person 1478 who wishes to comment a fair and reasonable opportunity to comment 1479 orally or in writing.
 - (3) No transcript of the hearing is required, unless a written request for a transcript is made, in which case the person requesting the transcript shall bear the cost of producing the transcript. A recording may be made in lieu of a transcript under the same terms and conditions as a transcript. The provisions of this subdivision shall not preclude the

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commission from making a transcript or recording of the hearing if it so chooses.

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- (4) Nothing in this subsection shall be construed as requiring a separate hearing on each rule. Rules may be grouped for the convenience of the commission at hearings required under this subsection.
 - (i) Following the scheduled hearing date, or by the close of business on the scheduled hearing date if the hearing was not held, the commission shall consider all written and oral comments received.
 - (j) The commission shall, by majority vote of all members, take final action on the proposed rule and shall determine the effective date of the rule, if any, based on the rulemaking record and the full text of the rule.
- (k) If no written notice of intent to attend the public hearing by interested parties is received, the commission may proceed with promulgation of the proposed rule without a public hearing.
- 1500 (l) Upon determination that an emergency exists, the commission 1501 may consider and adopt an emergency rule without prior notice, 1502 opportunity for comment or hearing, provided the usual rulemaking 1503 procedures described in the compact and in this subsection shall be 1504 retroactively applied to the rule as soon as reasonably possible, in no 1505 event later than ninety days after the effective date of the rule. For the 1506 purposes of this subsection, "emergency rule" means a rule that shall be 1507 adopted immediately in order to:
- 1508 (1) Meet an imminent threat to public health, safety or welfare;
- 1509 (2) Prevent a loss of commission or compact state funds;
- 1510 (3) Meet a deadline for the promulgation of an administrative rule 1511 that is established by federal law or rule; or
- 1512 (4) Protect public health and safety.
- 1513 (m) The commission, or an authorized committee of the commission,

may direct revisions to a previously adopted rule or amendment for purposes of correcting typographical errors, errors in format, errors in consistency or grammatical errors. Public notice of any revisions shall be posted on the Internet web site of the commission. The revision shall be subject to challenge by any person for a period of thirty days after posting. The revision may be challenged only on grounds that the revision results in a material change to a rule. A challenge shall be made in writing, and delivered to the chair of the commission prior to the end of the notice period. If no challenge is made, the revision shall take effect without further action. If the revision is challenged, the revision may not take effect without the approval of the commission.

ARTICLE XII

OVERSIGHT, DISPUTE RESOLUTION AND ENFORCEMENT

- (a) (1) The executive, legislative and judicial branches of state government in each compact state shall enforce the compact and take all actions necessary and appropriate to effectuate the compact's purposes and intent. The provisions of the compact and the rules promulgated under the compact shall have standing as statutory law.
- (2) All courts shall take judicial notice of the compact and the rules in any judicial or administrative proceeding in a compact state pertaining to the subject matter of the compact that may affect the powers, responsibilities or actions of the commission.
- 1536 (3) The commission shall be entitled to receive service of process in 1537 any such proceeding, and shall have standing to intervene in such 1538 proceeding for all purposes. Failure to provide service of process to the 1539 commission shall render a judgment or order void as to the commission, 1540 the compact or promulgated rules.
 - (b) (1) If the commission determines that a compact state has defaulted in the performance of its obligations or responsibilities under the compact or the promulgated rules, the commission shall perform the following actions:

(A) Provide written notice to the defaulting state and other compact states of the nature of the default, the proposed means of remedying the default or any other action to be taken by the commission; and

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- 1548 (B) Provide remedial training and specific technical assistance 1549 regarding the default.
- (2) If a state in default fails to remedy the default, the defaulting state may be terminated from the compact upon an affirmative vote of a majority of the compact states, and all rights, privileges and benefits conferred by the compact shall be terminated on the effective date of termination of the defaulting state. A remedy of the default does not relieve the offending state of obligations or liabilities incurred during the period of default.
 - (3) Termination of membership in the compact shall be imposed only after all other means of securing compliance have been exhausted. Notice of intent to suspend or terminate shall be submitted by the commission to the governor, the majority and minority leaders of the defaulting state's legislature, and each of the compact states.
 - (4) A compact state that has been terminated shall be responsible for all assessments, obligations and liabilities incurred through the effective date of termination, including, but not limited to, obligations that extend beyond the effective date of termination.
 - (5) The commission shall not bear any costs incurred by the state that is found to be in default or that has been terminated from the compact, unless agreed upon in writing between the commission and the defaulting state.
- 1570 (6) The defaulting state may appeal the action of the commission by 1571 petitioning the United States District Court for the State of Georgia or 1572 the federal district where the compact has its principal offices. The 1573 prevailing member shall be awarded all costs of such litigation, 1574 including, but not limited to, reasonable attorney's fees.
- (c) (1) Upon request by a compact state, the commission shall attempt

to resolve disputes related to the compact that arise among compact states and between compact and noncompact states.

- 1578 (2) The commission shall promulgate a rule providing for both mediation and binding dispute resolution for disputes that arise before the commission.
- (d) (1) The commission, in the reasonable exercise of its discretion, shall enforce the provisions and rules of the compact.
 - (2) By majority vote, the commission may initiate legal action in the United States District Court for the State of Georgia or the federal district where the compact has its principal offices against a compact state in default to enforce compliance with the provisions of the compact and its promulgated rules and bylaws. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing member shall be awarded all costs of such litigation, including, but not limited to, reasonable attorney's fees.
 - (3) The remedies set forth in the compact shall not be the exclusive remedies of the commission. The commission may pursue any other remedies available under federal or state law.
- 1594 ARTICLE XIII

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- 1595 DATE OF IMPLEMENTATION OF THE PSYCHOLOGY 1596 INTERJURISDICTIONAL COMPACT COMMISSION AND 1597 ASSOCIATED RULES, WITHDRAWAL AND AMENDMENTS
 - (a) The compact shall come into effect on the date on which the compact is enacted into law in the seventh compact state. The provisions that become effective at such time shall be limited to the powers granted to the commission relating to assembly and the promulgation of rules. Thereafter, the commission shall meet and exercise rulemaking powers necessary to the implementation and administration of the compact.
- 1604 (b) Any state that joins the compact subsequent to the commission's 1605 initial adoption of the rules shall be subject to the rules as they exist on

the date on which the compact becomes law in such state. Any rule that has been previously adopted by the commission shall have the full force and effect of law on the day the compact becomes law in such state.

- (c) Any compact state may withdraw from the compact by enacting a statute repealing the same.
- (1) A compact state's withdrawal shall not take effect until six months
 after enactment of the repealing statute.
- (2) Withdrawal shall not affect the continuing requirement of the withdrawing state's psychology regulatory authority to comply with the investigative and adverse action reporting requirements set forth in Article VII of this section prior to the effective date of withdrawal.
- (d) Nothing contained in the compact shall be construed to invalidate
 or prevent any psychology licensure agreement or other cooperative
 arrangement between a compact state and a noncompact state that does
 not conflict with the provisions of the compact.
- 1621 (e) The compact may be amended by the compact states. No 1622 amendment to the compact shall become effective and binding upon 1623 any compact state until it is enacted into the law of all compact states.
- 1624 ARTICLE XIV

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- 1625 CONSTRUCTION AND SEVERABILITY
- The compact shall be liberally construed so as to effectuate the purposes thereof. If the compact is held contrary to the constitution of any state member of the compact, the compact shall remain in full force and effect as to the remaining compact states."

This act shall take effect as follows and shall amend the following
sections:

Section 1	October 1, 2022	New section
Sec. 2	October 1, 2022	New section

Statement of Legislative Commissioners:

In Section 1, section 21 (f), "withdrawing date" was changed to "withdrawing state" for accuracy; in Section 2, article VII (f), "alternative programs" was changed to "alternative program" for consistency; and in Section 2, article II (25)(A), "minor" was changed to "a minor" for clarity.

PH Joint Favorable Subst. -LCO

The following Fiscal Impact Statement and Bill Analysis are prepared for the benefit of the members of the General Assembly, solely for purposes of information, summarization and explanation and do not represent the intent of the General Assembly or either chamber thereof for any purpose. In general, fiscal impacts are based upon a variety of informational sources, including the analyst's professional knowledge. Whenever applicable, agency data is consulted as part of the analysis, however final products do not necessarily reflect an assessment from any specific department.

OFA Fiscal Note

State Impact:

Agency Affected	Fund-Effect	FY 23 \$	FY 24 \$
Public Health, Dept.	GF - Cost	81,000	81,000
State Comptroller - Fringe	GF - Cost	32,800	32,800
Benefits ¹			

Note: GF=General Fund

Municipal Impact: None

Explanation

This bill enters Connecticut into two interstate compacts, the Interstate Medical Licensure Compact and the Psychology Interjurisdictional Compact.

The medical compact provides an expedited licensure process for physicians seeking to practice in multiple states (including by telehealth). The psychology compact provides a process authorizing psychologists to practice by (1) telehealth and (2) temporary in-person, face-to-face services across state boundaries, without the psychologist having to be licensed in each of the states.

It is anticipated that the Department of Public Health (DPH) will need to one Analyst II position at \$81,000 (plus fringe benefits) to handle the bill's requirements.

¹The fringe benefit costs for most state employees are budgeted centrally in accounts administered by the Comptroller. The estimated active employee fringe benefit cost associated with most personnel changes is 40.53% of payroll in FY 23.

The Out Years

The annualized ongoing fiscal impact identified above would continue into the future subject to inflation.

OLR Bill Analysis sHB 5046

AN ACT ADOPTING THE INTERSTATE MEDICAL LICENSURE COMPACT AND PSYCHOLOGY INTERJURISDICTIONAL COMPACT.

SUMMARY

This bill enters Connecticut into two interstate compacts, the Interstate Medical Licensure Compact (§ 1) and the Psychology Interjurisdictional Compact (PSYPACT, § 2).

The medical compact provides an expedited licensure process for physicians seeking to practice in multiple states (including by telehealth). Among other eligibility criteria, a physician must first be licensed in a member state and never had his or her medical license subjected to disciplinary action. Eligible physicians can complete one application within the compact, but receive separate licenses from the states where they will practice.

The psychology compact provides a process authorizing psychologists to practice by (1) telehealth (unlimited) and (2) temporary in-person, face-to-face services (30 days per year per state) across state boundaries, without the psychologist having to be licensed in each of the states. A psychologist can apply for authorization for either or both types of interjurisdictional practice under the compact.

Among various other provisions, each compact:

- 1. provides eligibility criteria for physicians or psychologists to practice under the respective compact;
- 2. is overseen by a separate commission, made up of representatives from the participating states;

 addresses several matters related to disciplinary actions for providers practicing under the compact, such as information sharing among participating states and automatic suspension of practice in some circumstances;

- 4. allows its respective commission to levy an annual assessment on member states to cover the cost of its operations;
- 5. provides that amendments to the compact only take effect if all participating states adopt them into law; and
- 6. provides a process for states to withdraw from the compact.

A broad overview of each compact appears below.

EFFECTIVE DATE: October 1, 2022

§ 1 — INTERSTATE MEDICAL LICENSURE COMPACT

The Interstate Medical Licensure Compact provides an expedited licensure process for physicians seeking to practice in multiple states. The compact defines "expedited license" as a full and unrestricted medical license granted by a member state to an eligible physician through the process described in the compact. A "state" is a U.S. state, commonwealth, district, or territory.

Physician Eligibility and Application Process (§ 1(3)-(7))

To be eligible to receive an expedited license under the compact, a physician must meet the following criteria:

- 1. have graduated from an accredited medical school or school listed in the International Medical Education Directory;
- 2. passed each component of the U.S. Medical Licensing Examination or Comprehensive Osteopathic Medical Licensing Examination within three attempts (or predecessor examinations accepted by a state medical board);
- successfully completed graduate medical education approved by

the Accreditation Council for Graduate Medical Education or the American Osteopathic Association;

- 4. hold specialty certification or a time-unlimited specialty certificate recognized by the American Board of Medical Specialties or the American Osteopathic Association's Bureau of Osteopathic Specialists;
- 5. possess a full and unrestricted license to engage in the practice of medicine issued by a member board;
- 6. have no criminal history (e.g., convictions, community supervision, or deferred dispositions) for any felony, gross misdemeanor, or crime of moral turpitude;
- 7. have no history of disciplinary history against their medical license (other than for nonpayment of fees);
- 8. have never had a controlled substance license or permit suspended or revoked by a state or the U.S. Drug Enforcement Administration; and
- 9. not be under active investigation by a licensing agency or law enforcement authority in any state, federal, or foreign jurisdiction.

For purposes of registering for expedited licensure through the compact, a physician must also designate a compact member state as the state of principle license. The physician must select a state in which the physician has an unrestricted license to practice medicine and that is:

- 1. the state of the physician's primary residence;
- 2. the state where at least 25% of the physician's practice of medicine occurs;
- 3. the location of the physician's employer; or
- 4. if no state qualifies under the above three criteria, the physician's

state of residence for federal income tax purposes.

A physician seeking licensure through the compact must apply for an expedited license with the member board (i.e., the state physician licensing board) of the physician's selected state of principal license. The member board, upon receiving the application, must evaluate the physician's eligibility and issue a letter of qualification, verifying or denying eligibility, to the Interstate Commission (see below). As part of this process, the member board must conduct a criminal background check.

After the physician's eligibility is verified, the physician must complete the commission's registration process to receive a license in a member state (including payment of applicable fees). The member board then issues an expedited license to the physician, authorizing the physician to practice in that state according to its applicable laws.

An expedited license is valid for a period consistent with the member state's licensure period. Physicians seeking to renew an expedited license must complete a renewal process with the commission, subject to certain eligibility requirements (e.g., applicable continuing education requirements). The commission collects renewal fees and distributes them to the applicable member board.

Disciplinary Action and Investigations (§ 1(8)-(10))

The compact addresses several matters related to investigation and discipline of physicians licensed through its procedures. For example:

- member boards must report to the commission any public action or complaint against a physician who has applied for or received an expedited license through the compact, and other disciplinary or investigatory information as described in commission rules;
- member boards can participate with one another in joint investigations of physicians licensed by them and subpoenas issued by a member state are enforceable in other member states;

3. if the physician's license is subject to revocation, suspension, or certain other disciplinary actions in the state of principal license, then all of that physician's licenses in other member states are automatically placed on that same status; and

4. if disciplinary action is taken against a physician by a member board not in the state of principal license, any other member board may (a) impose the same or any lesser sanction that is consistent with that state's Medical Practice Act or (b) pursue separate disciplinary action under its Medical Practice Act (in some cases, a member board must suspend a license for 90 days to allow for an investigation).

Interstate Medical Licensure Compact Commission (§ 1(11)-(15))

The compact is administered by the Interstate Medical Licensure Compact Commission, which consists of two voting members appointed by each member state (representing the member boards). The compact sets forth several powers, duties, and procedures for the commission. For example, the commission:

- 1. promulgates rules that are binding to the extent and in the manner provided for in the compact;
- 2. enforces compliance with compact provisions as well as the commission's rules and bylaws; and
- 3. reports annually to the legislature and governors of member states concerning its activities during the prior year.

The commission (1) can levy an annual assessment on member states to cover the costs of its operations, based on a formula that the commission determines and (2) is subject to a yearly financial audit.

The compact addresses several other matters regarding the commission and its operations, such as establishing conditions under which its officers and employees are immune from civil liability.

Compact Oversight, Enforcement, Member Withdrawal, Dissolution, and Related Matters (§ 1(16)-(24))

Among several other related provisions, the compact provides that:

- 1. each member state's executive, legislative, and judicial branches must enforce the compact and take necessary steps to carry out its purposes (§ 1(16));
- 2. the commission must enforce the compact and rules, and may bring legal action against a state in default (generally, one that has failed to perform its obligations under the compact), upon a majority vote of its commissioners (the case can be brought in the U.S. District Court for the District of Columbia or, at the commission's discretion, the federal district where the commission's principal offices are located) (§ 1(17));
- 3. the commission must take specified steps against a member state in default and after all other means of securing compliance have been exhausted, a defaulting state is terminated from the compact upon a majority vote of its commissioners (§ 1(18));
- 4. upon a member state's request, the commission must attempt to resolve a compact-related dispute between member states or member boards (§ 1(19));
- 5. the commission may propose compact amendments, but no amendment takes effect unless it is enacted into law by unanimous consent of the member states (§ 1(20));
- 6. a member state may withdraw from the compact by repealing that state's enabling legislation, but withdrawal does not take effect until one year after the effective date of the repealing statute (§ 1 (21));
- 7. the compact dissolves when its membership is reduced to one state (§ 1(22));
- 8. the compact's provisions are severable and its provisions must be

liberally construed to carry out its purposes (§ 1(23)); and

9. all member state laws in conflict with the compact are superseded to the extent of the conflict (unless a compact provision exceeds the constitutional limits imposed on a member state's legislature) (§ 1(24)).

§ 2 — PSYCHOLOGY INTERJURISDICTIONAL COMPACT

The Psychology Interjurisdictional Compact provides a process authorizing (1) telepsychology or (2) temporary in-person, face-to-face practice in other compact states, without the psychologist having to be licensed in each of the states.

Under the compact, "telepsychology" is the provision of psychological services using telecommunication technologies. "Temporary in-person, face-to-face practice" is the practice of psychology by a psychologist who is physically present, not through telecommunications technologies, in another state for up to 30 days in a calendar year and based on notification to that state.

Under the compact, a "state" is a U.S. state, commonwealth, territory, or possession or the District of Columbia. A "compact state" is a U.S. state, the District of Columbia, or a U.S. territory that is part of the compact (and has not withdrawn or been terminated from it).

A "home state" is a compact state where a psychologist in licensed. If a psychologist is licensed in multiple compact states, (1) for telepsychology, the home state is the compact state where the psychologist is physically present when delivering those services, and (2) for temporary in-person practice, the home state is any state where the psychologist is licensed and practicing under the compact.

A "receiving state" is a compact state where the client or patient is physically located when the telepsychological services are delivered. A "distant state" is the compact state where a psychologist is physically present to provide temporary in-person, face-to-face services.

Under the compact, a home state's license authorizes a psychologist to practice in a receiving state (for telepsychology) or distant state (for temporary in-person services) only if the compact state:

- 1. requires the psychologist to hold an active E.Passport (for telepsychology) or Interjurisdictional Practice Certificate (IPC) (for temporary in-person services);
- 2. has a mechanism to receive and investigate complaints about licensed individuals;
- 3. notifies the commission (see below), in compliance with the compact's terms, of any adverse action (generally, public disciplinary action) or significant investigatory information regarding a licensed individual;
- 4. requires an identity history summary (e.g., FBI data on arrests) of all applicants at initial licensure (including fingerprints or other biometric data checks), no later than 10 years after the compact's activation; and
- 5. complies with the commission's rules and bylaws.

To be eligible to practice interjurisdictional telepsychology or through temporary in-person services under the compact, a psychologist must hold an unrestricted license in a compact state and hold a graduate psychology degree.

The degree-granting higher education institution must meet specified accreditation or similar requirements (depending on whether it is a domestic or foreign school). The psychology program itself also must meet several requirements, such as that it (1) is clearly identified and labeled as a psychology program, (2) includes a curriculum of at least three academic years of full-time graduate study for a doctorate, and (3) includes an acceptable residency.

The psychologist also must:

1. have no adverse action or criminal record history that violates the commission's rules;

- possess a current, active E.Passport (for telepsychology) or IPC (for temporary in-person practice);
- 3. provide attestations on specified matters (e.g., areas of intended practice) and an information release; and
- 4. meet other criteria as defined by commission rules.

Under the compact, "E.Passport" is the Interjurisdictional Practice Certificate issued by the Association of State and Provincial Psychology Boards (ASPPB) that promotes standardization in interjurisdictional telepsychology practice criteria and facilitates the process for licensed psychologists to provide telepsychological services across state lines. The "IPC" is the certificate issued by the ASPPB that grants temporary authority to practice based on notification to the state psychology regulatory authority of intention to practice temporarily and verification of qualification for that practice.

Currently, many of the specific requirements for the E.Passport and IPC are similar. For example, both require the psychologist to have a current license based on a doctorate. Both the E.Passport and IPC require annual renewal; the former requires three hours of continuing education on use of technology in psychology.

The compact establishes certain other rules for which state maintains authority over a psychologist practicing under the compact. For example, it provides that:

- 1. the home state maintains authority over the license of any psychologist practicing in a receiving state under the authority to practice interjurisdictional telepsychology;
- a psychologist practicing in a distant state under the temporary authorization to practice is subject to that state's authority and law; and

3. a psychologist practicing under the compact must do so within the scope of practice of the receiving or distant state (for telepsychology or temporary in-person practice, respectively).

For telepsychology under the compact, the psychologist also must (1) initiate the client or patient contact in a home state via telecommunications technologies and (2) comply with other commission rules.

Adverse Actions, Regulatory Board Authority, and Coordinated Licensure Information System (§ 2, Art. IV-V, VII-IX)

The compact addresses several matters related to investigation and discipline of psychologists practicing under its procedures. For example:

- a home state may take adverse action against a psychologist license issued by that state, and a receiving or distant state may take adverse action on a psychologist's authority to practice interjurisdictional telepsychology or temporary authorization to practice in that state under the compact;
- 2. if the home state or a receiving or distant state takes such action, the psychologist's E.Passport or IPC is revoked;
- 3. a home state's psychology regulatory authority must investigate and take appropriate action with respect to reported inappropriate conduct in a receiving state as if the conduct had happened in the home state, and the home state's law controls in determining any adverse action against the license;
- 4. a distant state's psychology regulatory authority must investigate and take appropriate action with respect to reported inappropriate conduct in that state as if the conduct had happened in the home state, and the distant state's law controls in determining any adverse action against the authorization to practice;
- 5. in addition to authority granted under state laws, psychology

regulatory boards have specified authority under the compact, such as issuing cease and desist or injunctive relief orders to revoke a psychologist's authority to practice interjurisdictional telepsychology or temporary authorization to practice;

- psychologists are prohibited from changing their home state licensure during an investigation, and home state regulatory authorities must promptly report the conclusion of investigations to the commission;
- 7. the commission must provide for the development of a coordinated database for compact states to report and share information on disciplinary action against psychologists; and
- 8. compact states must submit the same information on all licensees for inclusion in the database, and the database administrator must promptly notify all compact states of any adverse action against, or significant investigative information on, any licensee in a compact state.

Psychology Interjurisdictional Compact Commission (§ 2, Art. X-XI)

The compact is administered by the Psychology Interjurisdictional Compact Commission, which consists of one voting member appointed by each compact state's psychology regulatory authority. The compact sets forth several powers, duties, and procedures for the commission. For example, the commission:

- 1. may promulgate rules to facilitate and coordinate the compact's implementation and administration (a rule has no effect if a majority of the legislatures of the compact states reject it in the same manner used to adopt the compact),
- 2. may levy and collect an annual assessment from each compact state and impose fees on other parties to cover the costs of its operations, and
- 3. must have its receipts and disbursements audited yearly and the

audit report included in the commission's annual report.

The compact addresses several other matters regarding the commission and its operations, such as establishing conditions under which its officers and employees are immune from civil liability.

Compact Oversight, Enforcement, Member Withdrawal, and Related Matters (§ 2, Art. XII-XIV)

Among other related provisions, the compact provides that:

- 1. each compact state's executive, legislative, and judicial branches must enforce the compact and take necessary steps to carry out its purposes (§ 2, Art. XII(a));
- 2. the commission must take specified steps against a compact state in default, and after all other means of securing compliance have been exhausted, a defaulting state is terminated from the compact upon a majority vote of the compact states (§ 2, Art. XII(b));
- 3. upon a compact state's request, the commission must attempt to resolve a compact-related dispute among compact states or between compact and non-compact states (§ 2, Art. XII(c));
- 4. the commission must enforce the compact and rules, and may bring legal action against a compact state in default upon a majority vote of its commissioners (the case may be brought in the U.S. District Court in Georgia or the federal district where the commission's principal offices are located) (§ 2, Art. XII(d));
- 5. a compact state may withdraw from the compact by repealing that state's enabling legislation, but withdrawal does not take effect until six months after enactment of the repealing statute (§ 2, Art. XIII(c));
- 6. the compact states may amend the compact, but no amendment takes effect until it is enacted into law by all compact states (§ 2, Art. XIII(e)); and

7. the compact's provisions must be liberally construed to carry out its purposes, and if the compact is held to violate a compact state's constitution, the compact remains in effect in the remaining compact states (§ 2, Art. XIV).

BACKGROUND

Related Bills

HB 5395 (§§ 1 & 2), favorably reported by the Public Health Committee, contains identical provisions as this bill.

SB 2 (§ 36), favorably reported by the Children's Committee, contains identical provisions on the Psychology Interjurisdictional Compact.

COMMITTEE ACTION

Public Health Committee

Joint Favorable Yea 31 Nay 0 (03/11/2022)